

CALTRANS

DIVISION OF
LOCAL
ASSISTANCE

Enhanced Oversight Overview

March, 2021

- Project Oversight – Component of the larger Division effort on Risk Based Stewardship and Oversight effort
- Three general types of projects
 - Federal Program – Federal Funds
 - State Program – State & Federal Funds
 - State Program – State Only Funds
- Identified all touchpoints (Programming through Closeout)
 - Established Standard Oversight
 - Identified areas for Enhanced Oversight

ENHANCED OVERSIGHT



ENHANCED OVERSIGHT

Standard Oversight

Applies to all projects

No change to current project implementation

Check Deliverability and
PSR Equivalency

Advise & Coordinate
Reporting, Progress,
Completions & Final

Timely Use of Funds
Monitoring

Invoice Review

Prepare & Process
Master Agreements &
PSAs

CTC Coordination on
Scope Changes,
Allocation, Time
Extension

Receive, Compliance and
Review ATP Application

Post Construction
Reviews

ENHANCED OVERSIGHT

Enhanced Oversight projects – (SOF projects)

Projects meeting specific criteria will undergo Enhanced Oversight
Includes all of the Standard Oversight practices, and

Verification of scope at
time of construction
allocation request

Compare quarterly
reporting against
application data and time
extension requests

Provide A&E
procurement process
checks, Performance
Reviews and Report

Participate in regular
Project Development
Team meetings

Verify invoice request is
consistent with project
progress & completion
reports

Advise on Baseline
Agreement, preparation
and processing

ENHANCED OVERSIGHT

- Goals
 - Fewer Scope Changes
 - Minimize project delays, leading to fewer time extensions
 - Fewer lapsed phases
 - Fewer Audit findings
 - More timely delivery
- Rollout
 - ATP and SB1 projects – now
 - Creation of new Chapter 25 “State Programs”
 - Replaces the STIP and ATP Chapter
 - Includes STIP, ATP and SB1 (LPP, TCEP and SCCP)
 - Updated smart Allocation/TUF form – to be rolled out soon
 - Federal Funded projects – up next

Questions?

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